

Gene Structure Prediction

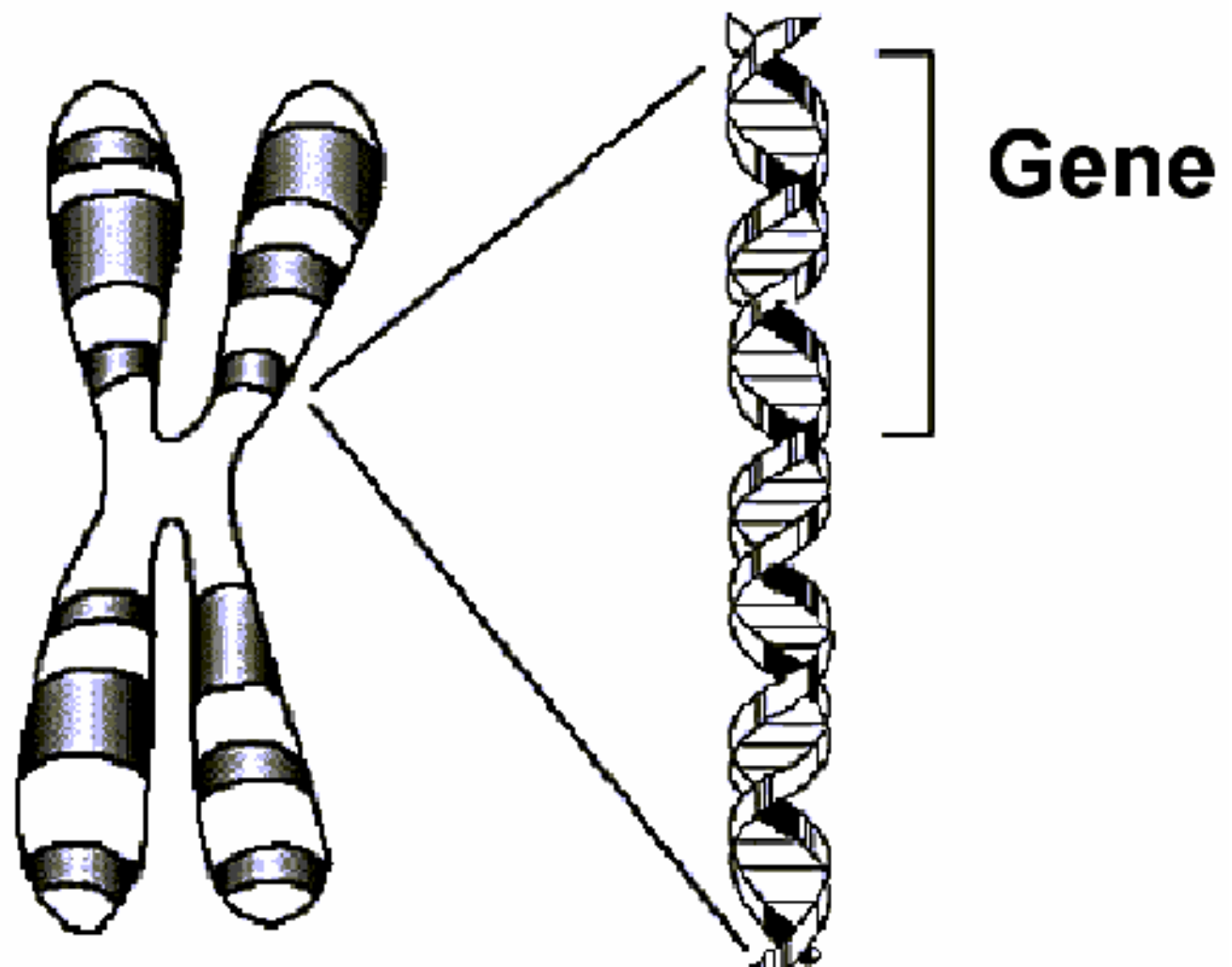
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2006

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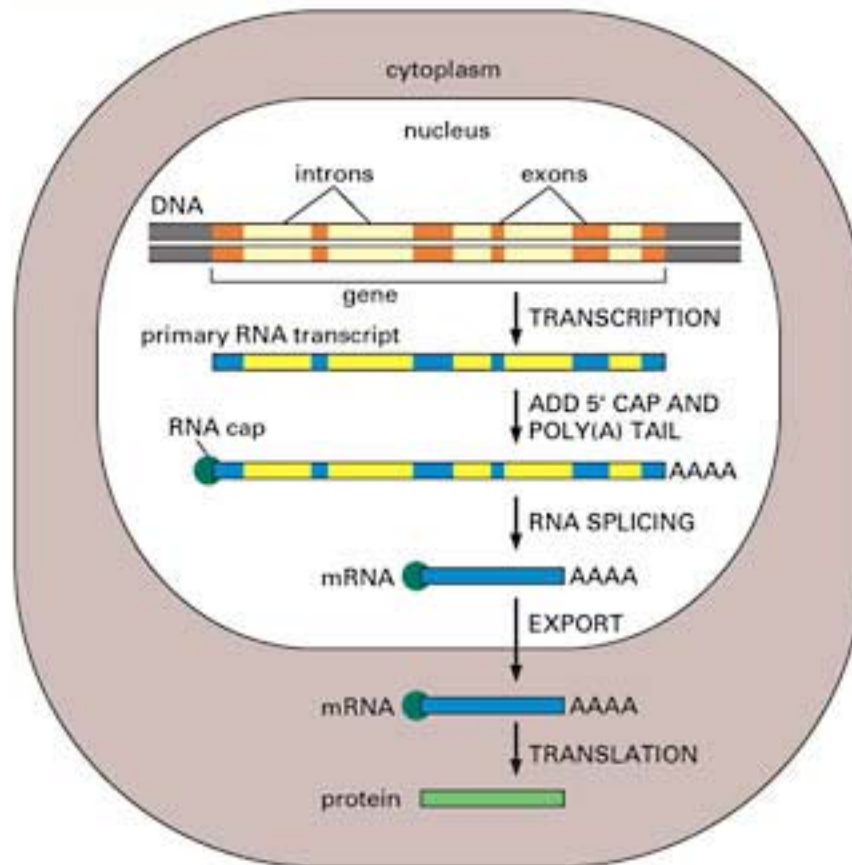
Chromosome

DNA

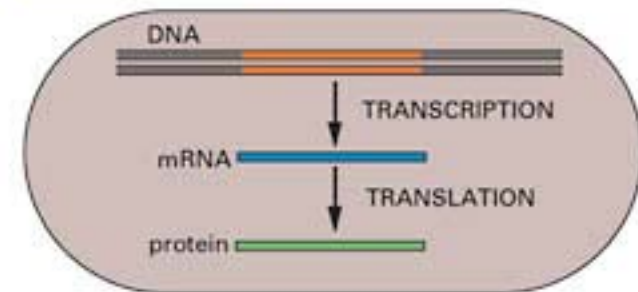
Gene

Gene Structure

(A) EUCARYOTES



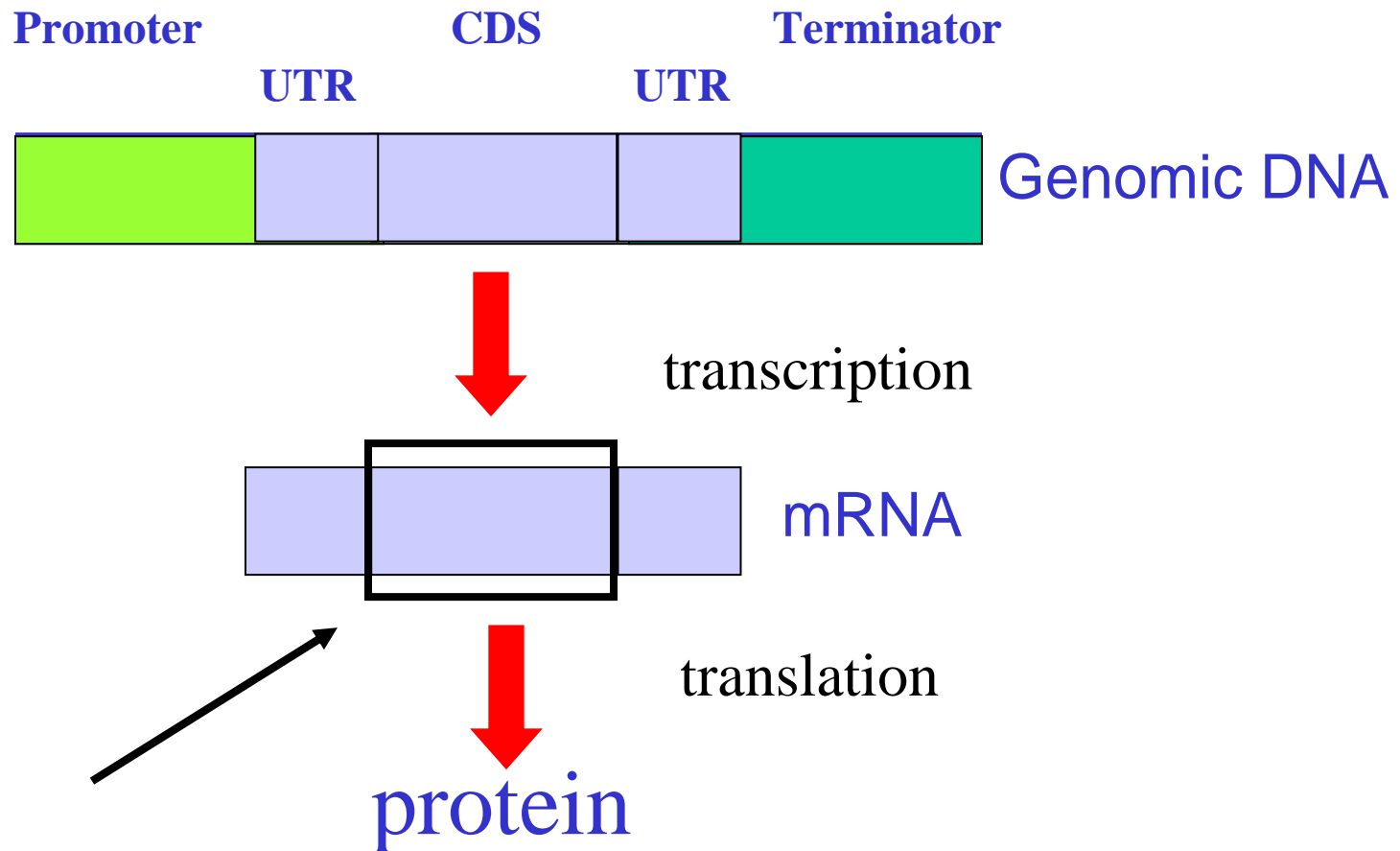
(B) PROCARYOTES



Prokaryote gene structure

- **Promoter** : RNA polymerase binding consisting of a number of subunits
 - minus 10 site:
 - Pribnow box (TATAAT)
 - Sigma-specific
 - minus 35 site:
 - Sigma-specific
- **Transcription start site**
- **Coding region (ORF)**: aa sequence in protein
 - Translational start site (AUG)
 - Translational stop site (UAA, UAG, UGA)
- **Transcription stop site**

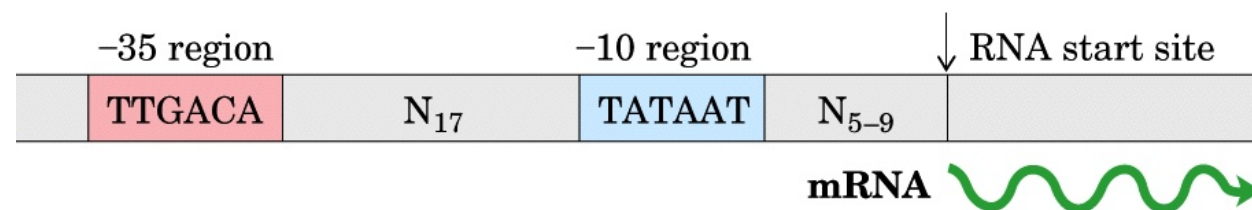
Prokaryote Gene Structure



UTR: a transcribed but non-coding region.

Prokaryote promoter example

- Pribnow box located at -10 (6-7bp)
- Promoter sequence located at -35 (6bp)



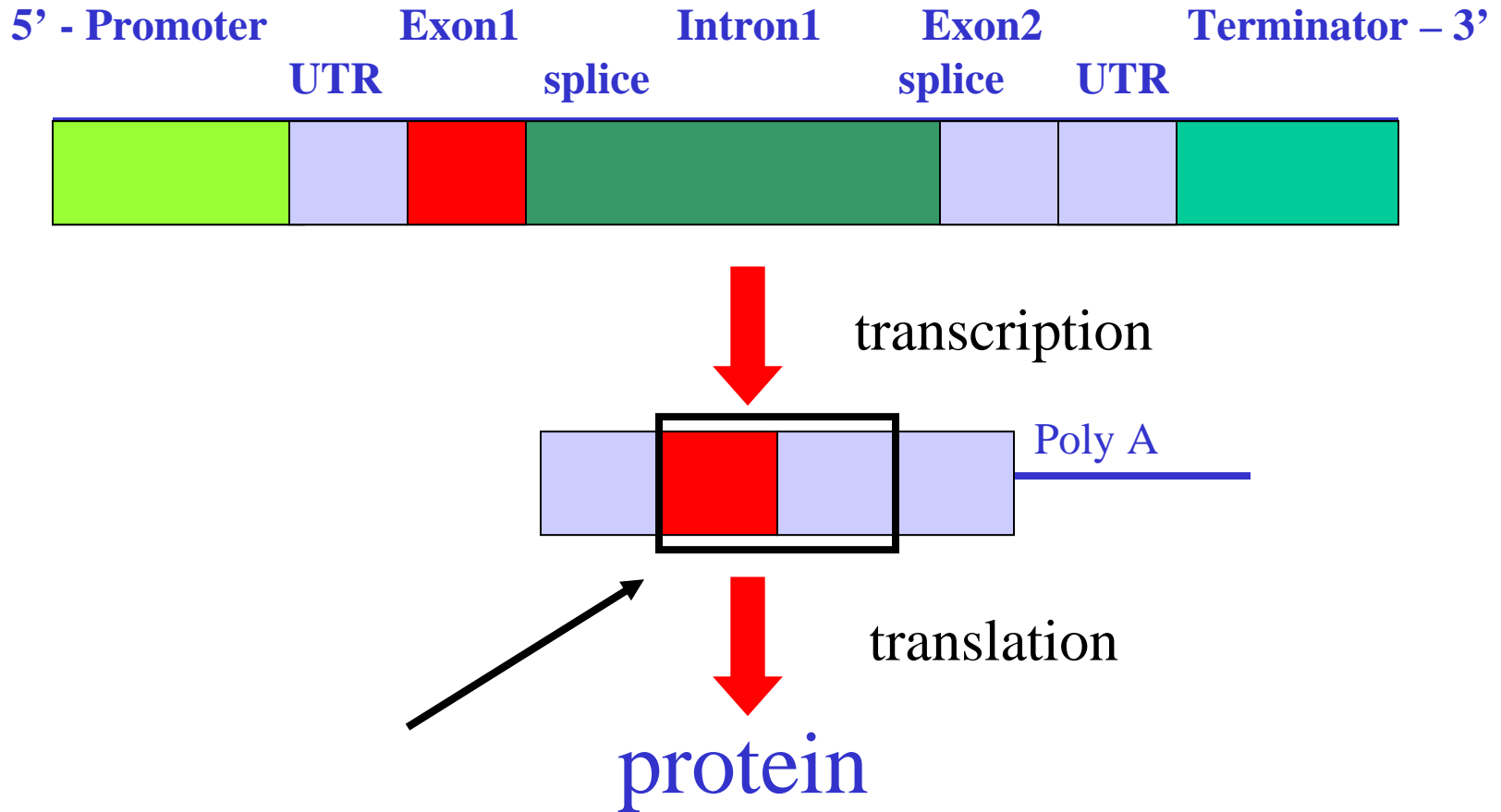
Consensus sequences

- Promoters sequences can vary tremendously.
- RNA polymerase recognizes hundreds of different promoters

(b) Strong *E. coli* promoters

tyr tRNA	TCTCAACGTAACAC	TTTACA	GCGGCG	• •	CGTCATTTGAT	TATGATGC	•	GCCCC	G	CTTCCCGATAAGGG	
rrn D1	GATCAAAAAAATAC	TTGTGCAAAAAA	• •	TTGGGATCCC	TATAAT	GCGCCTCC	G	TTGAGACGACAACG	G	TTGAGACGACAACG	
rrn X1	ATGCATTTTTCCGC	TTGTCT	T	CCTGA	• •	GCCGACTCCC	TATAAT	GCGCCTCC	A	TCGACACGGCGGAT	
rrn (DXE) ₂	CCTGAAATTCAGGG	TTGAC	TCTGAAA	• •	GAGGAAAGCG	TAATATAC	•	GCCAC	C	TCGCGACAGTGAGC	
rrn E1	CTGCAATTTTTCTA	TTGCGGCCTGCG	• •	GAGAACTCCC	TATAAT	GCGCCTCC	A	TCGACACGGCGGAT	A	TCGACACGGCGGAT	
rrn A1	TTTTAAATTTCTC	TTGTCA	GGCCGG	• •	AATAACTCCC	TATAAT	GCGCCACC	A	CTGACACGGAACAA	A	CTGACACGGAACAA
rrn A2	GCAAAAATAAATGC	TTGAC	TCTGTAG	• •	CGGGAAGGCG	TATTATGC	•	ACACC	C	CGCGCCGCTGAGAA	
λ P _R	TAACACCGTGCGTG	TTGAC	TATTTTA	•	CCTCTGGCGGTGATA	AATGG	• •	TTGC	A	TGTAATAAGGAGGT	
λ P _L	TATCTCTGGCGGTG	TTGACAT	AAATA	•	CCACTGGCGGTGATA	CTGA	• •	GCAC	A	TCAGCAGGACGCAC	
T7 A3	GTGAAACAAAACGG	TTGACA	ACATGA	•	AGTAAACACGGT	ACGATGT	•	ACCAC	A	TGAAACGACAGTGA	
T7 A1	TATCAAAAAGAGTA	TTGACT	TAAAGT	•	CTAACCTATAGGATA	ACTTA	•	CAGCC	A	TCGAGAGGGACACG	
T7 A2	ACGAAAAACAGGTA	TTGACA	ACATGA	AGTAAACATGCAGT	AGATAC	•	AAATC	G	CTAGGTAACACTAG		
fd VIII	GATACAAATCTCCG	TTGTACT	T	TTGTT	•	TCGCGCTTGGTATAAT	CG	•	CTGGG	G	GTCAAAGATGAGTG
		-35				-10			+1	→	

Eukaryote gene structure

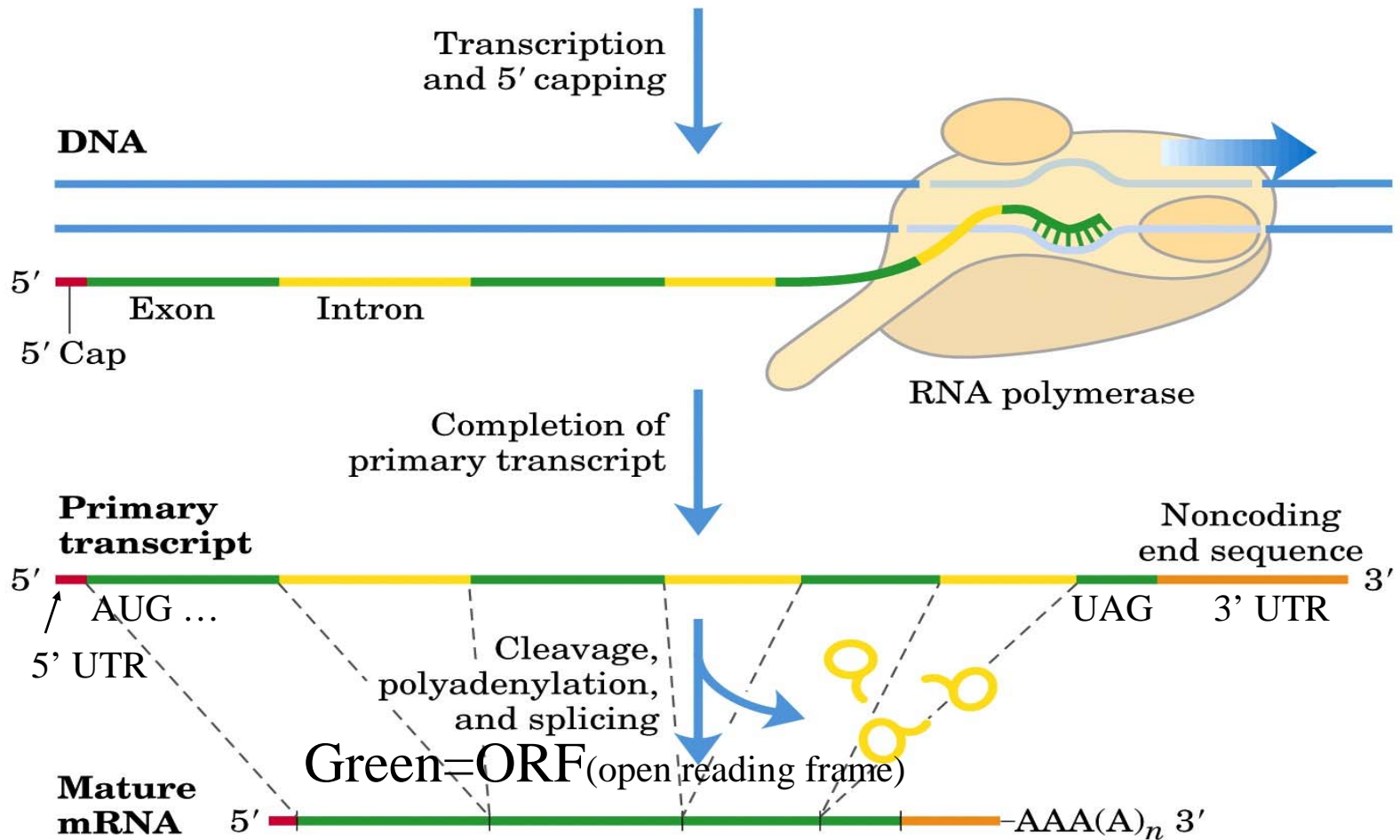


Eukaryote gene structure

- TATA box located at -25
 - TATA(A/T)A(A/T)
 - Recognized by TATA-binding protein
- Initiator sequence at $+1$
 - YYCARR; Y is C/T, R is G/A
 - $+1$ is usually the A
- Transcription factors bind to promoters
 - Position specific scoring matrix (PSSM)
- Possible distant regions acting as enhancers or silencers (even more than 50 kb).
 - More complex mechanism than prokaryotes

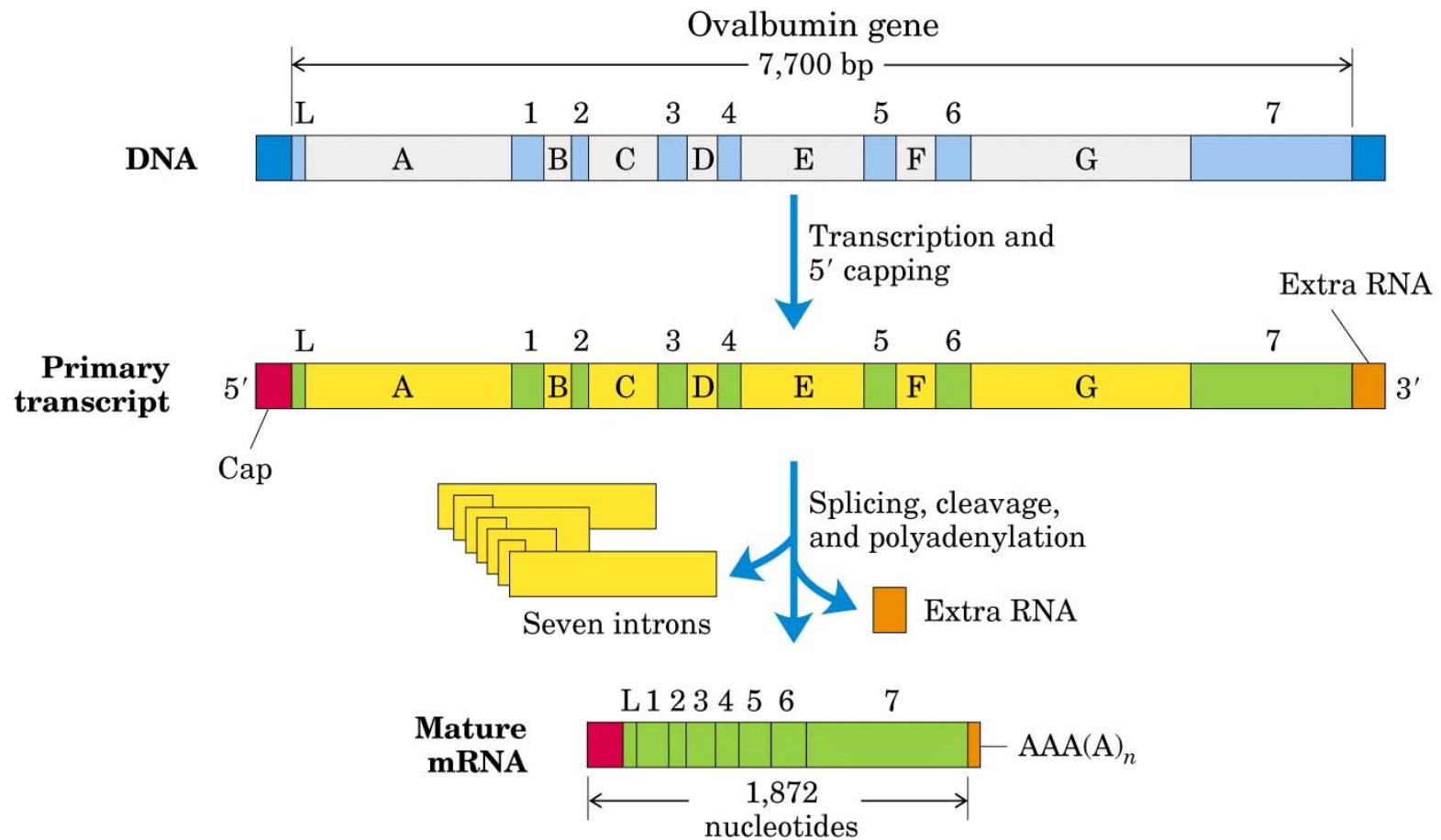
Eukaryote gene structure vs. prokaryote gene structure

- No operons
- Capping at 5' end and polyadenylation at 3' end
 - Transport of mRNA out of nucleus
 - Effects stability and efficiency of translation
- Introns
- Alternative splicing
- CpG islands around promoter regions
 - CpG tends to methylate and mutate
 - Conservation implies function

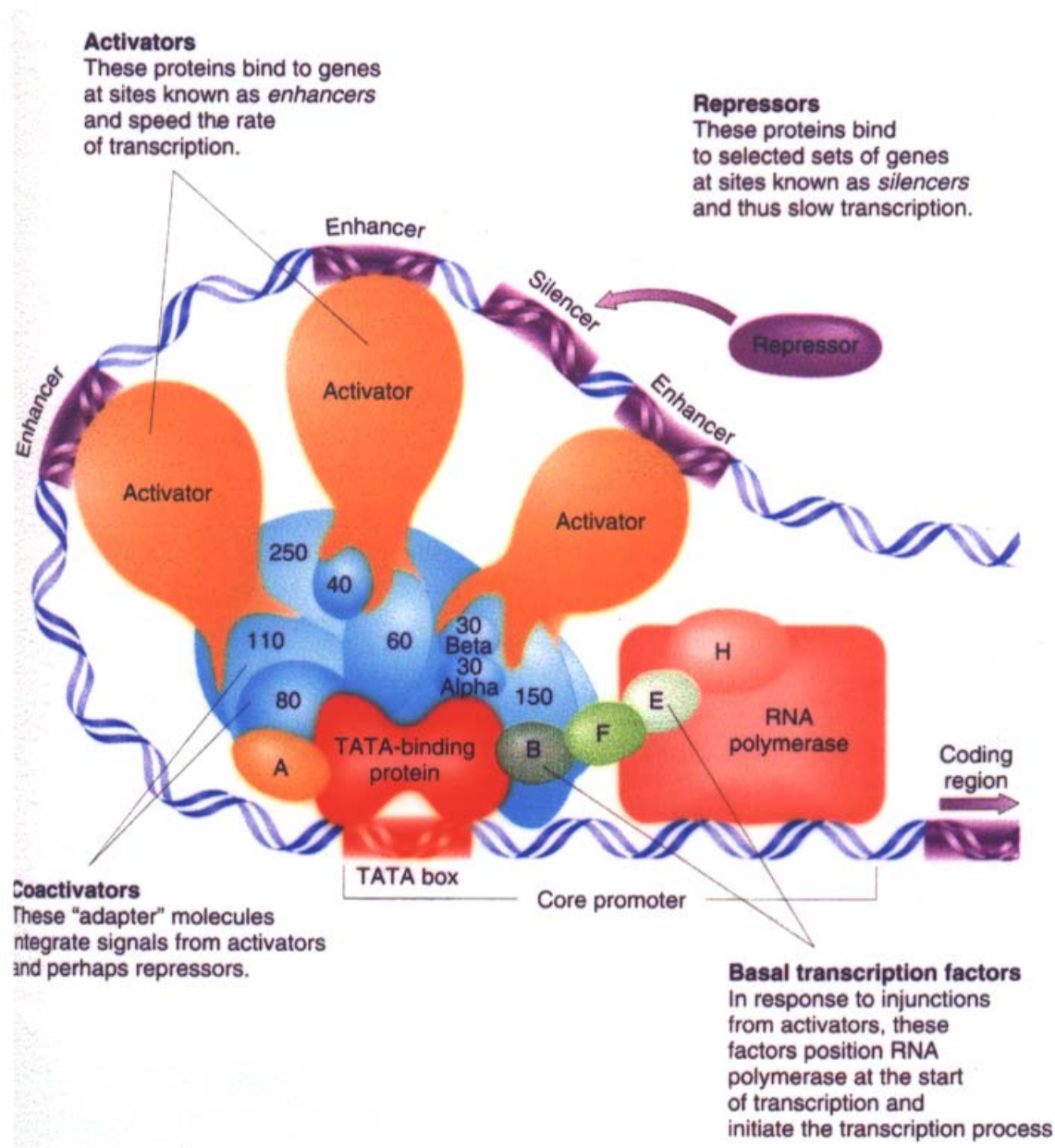


The linear order is never violated; it is simply interrupted

Summary of the three steps in pre-mRNA processing



- The final mRNA may represent less than 5% of the transcribed DNA sequence



Activators
 These proteins bind to genes at sites known as *enhancers* and speed the rate of transcription.

Repressors
 These proteins bind to selected sets of genes at sites known as *silencers* and thus slow transcription.

Coactivators
 These "adapter" molecules integrate signals from activators and perhaps repressors.

Basal transcription factors
 In response to injunctions from activators, these factors position RNA polymerase at the start of transcription and initiate the transcription process

Gene Prediction Problems

- Prokaryotes: easy. Predict promoter region or start of coding region is able to determine a gene.
- Eukaryotes: hard. Need to predict promoter, transcription/translation start region, splice sites, coding regions. All these prediction can be considered in isolation or altogether.

Gene Structure Prediction Methods

- Homology Based Method
- Ab-Initio Methods

Markov Model

Hidden Markov Model

Neural Network

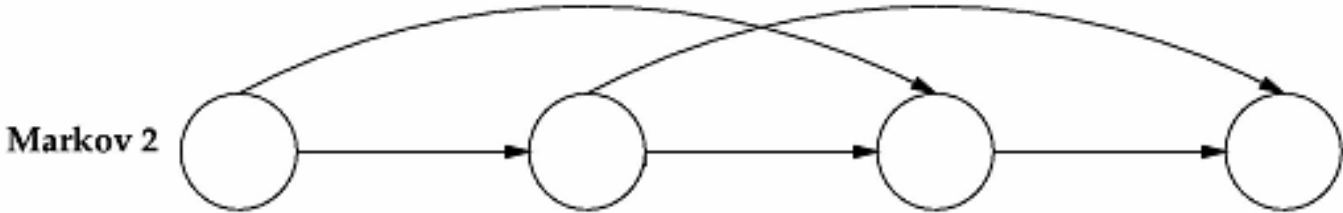
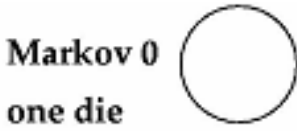
Homology Based Methods

- Given a genomic sequence, search against cDNA or EST libraries
- GenomeScan (genes.mit.edu/genomescan.html)
- EST2Genome
(bioweb.pasteur.fr/seqanal/interfaces/est2genome.html)
- Consensus-based programs: GeneComber
(www.bioinformatics.ubc.ca/genecomber/index.php)

Markov Model

- A Markov chain is a sequence of random variables X_1, X_2, X_3, \dots with Markov property, namely that, given the present state, the future and past states are independent.
- $P(X_{n+1}=x|X_n=x_n, \dots, X_1=x_1, X_0=x_0) = P(X_{n+1}=x|X_n=x_n)$. (first order Markov Model)
- The possible values of X_i form a countable set S called the state space of the chain.
- A finite state machine is an example of a Markov chain.
- The probability of transition from one state to another state is called transition probability.

Markov Models

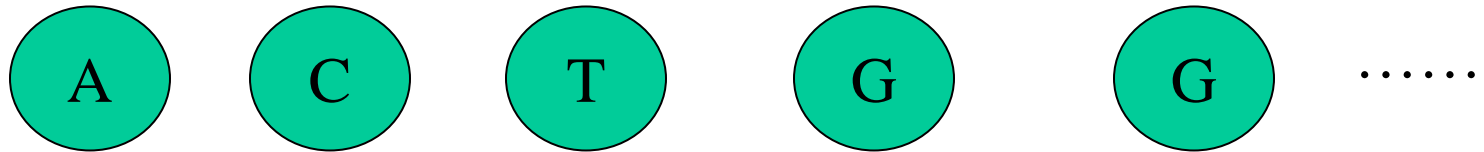


Markov Model for Gene Prediction

- DNA sequences can be considered to be generated by two Markov Chains
- One chain generates coding regions (gene). another chain generates non-coding regions.
- Each state in the chain can has four values:
A, C, G, T

0-Order Markov Model

Coding Region:



For all coding sequences:

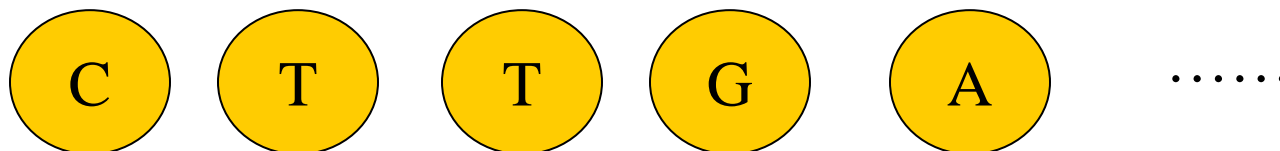
$$P_c(A) = \text{total num of A} / \text{total num of nucleotides}$$

$$P_c(C) = \text{total num of C} / \text{total num of nucleotides}$$

$$P_c(G) = \text{total num of G} / \text{total num of nucleotides}$$

$$P_c(T) = \text{total num of T} / \text{total num of nucleotides}$$

Non-Coding Region:



For all non-coding sequences:

$$P_n(A) = \text{total num of A} / \text{total num of nucleotides}$$

$$P_n(C) = \text{total num of C} / \text{total num of nucleotides}$$

$$P_n(G) = \text{total num of G} / \text{total num of nucleotides}$$

$$P_n(T) = \text{total num of T} / \text{total num of nucleotides}$$

Gene Prediction Using 0-order Markov Model

ACTGAGAC^AATGCCTA....

Under coding model:

$$P(A|\text{coding}) = P_c(G) * P_c(A) * P_c(G) * \dots$$

Under non-coding model:

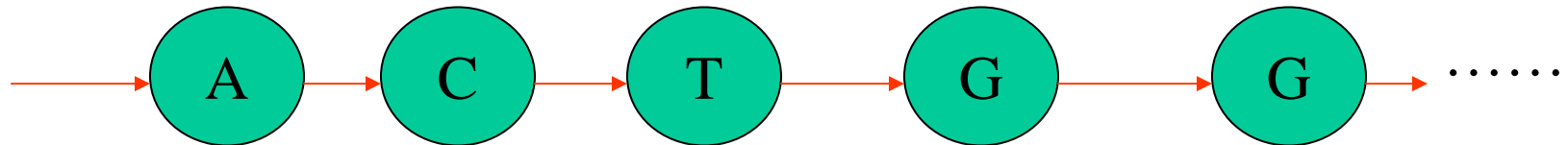
$$P(A|\text{non-coding}) = P_n(G) * P_n(A) * P_n(G) * \dots$$

If $P(A|\text{coding}) > P(A|\text{non-coding})$, it is in a gene. Otherwise, it is not in a gene.

Window size is usually pretty large, e.g., 101.

1st-Order Markov Model

Coding Region:



For all coding sequences:

To compute $P(x|y)$. x, y

$P_c(C|A) = \text{total num of AC} / \text{total num of A}$

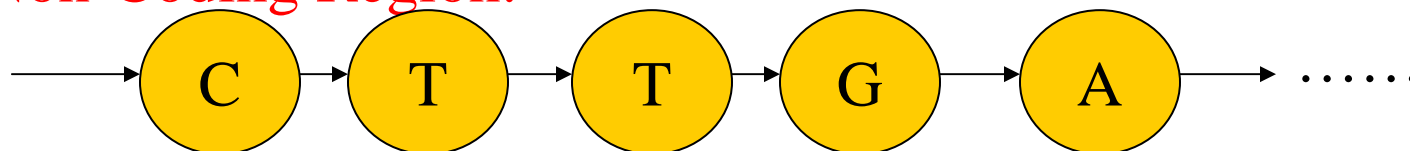
$P_c(T|C) = \text{total num of CT} / \text{total number of C}$

$P_c(G|T) = \text{total num of TG} / \text{total number of T}$

$P_c(G|G) = \text{total num of GG} / \text{total number of G}$

..... (16 different conditional probabilities)

Non-Coding Region:



For all non-coding sequences:

To compute $P(x|y)$. X, y .

$P_n(T|C) = \text{total num of CT} / \text{total num of C}$

$P_n(T|T) = \text{total num of TT} / \text{total num of T}$

$P_n(G|T) = \text{total num of GT} / \text{total num of T}$

$P_n(A|G) = \text{total num of AG} / \text{total num of G}$

.....

Gene Prediction Using 1st-order Markov Model

ACTGGGACAATGCCTA....

Under coding model:

$$P(\text{seq}|\text{coding}) = P_c(A) * P_c(C|A) * P_c(T|C) * \dots$$

Under non-coding model:

$$P(\text{seq}|\text{non-coding}) = P_n(A) * P_n(C|A) * P_n(T|C) * \dots$$

If $P(\text{seq}|\text{coding}) > P(\text{seq}|\text{non-coding})$, it is gene. Otherwise, it is not a gene.

Higher Order Markov Model for Gene Prediction

ACTGGGACAATGCCTA....

Second order:

$P(T|AC), P(G|CT), \dots$ (64 conditional probabilities)

$$P(z|xy) = \#xyz / \#xy$$

Third order:

$P(T|ACG), P(G|AAA),$ (256 conditional probabilities)

....

The best Markov Model for gene prediction uses 5th order.
(biological meaning?)

GeneMark

<http://exon.gatech.edu/GeneMark/>

GeneMark.hmm for Prokaryotes (Version 2.4) (Reload this page)


Reference: Lukashin A. and Borodovsky M., [GeneMark.hmm: new solutions for gene finding](#), *NAR*, 1998, Vol. 26, No. 4, pp. 1107-1115. [\[Download PDF \]](#)


- 1) This page has been updated to run version 2.4 of GeneMark.hmm, as well as version 2.5 of GeneMark.
- 2) Processing speed: 1 million nucleotides in 15 seconds.
- 3) Prediction results for sequences longer than 5 MB are sent by e-mail.

UPDATE (November 8, 2005):

Prediction models have been pre-computed for a [265](#) completely sequenced prokaryotic genomes from the NCBI RefSeq database. Gene predictions made for these genomes are available in the [GeneMark prokaryotic database](#).


Input Sequence

Title (optional): 

Sequence Text: 


```
gcccaggctgcggaattacgctagtcctccgtaagtaaaattacagataggcgatcgtgat
aatcgtggctattactgggatggcggtcactggcgcgaccacggctggggaaaaaacat
tatgaatggcgaggcaatcgtggcaccatattggaccgcccatcgcgcgccataac
aagcaaatgatcactcgtggcgatcactcctccgggacctgacaaaacatcactcgtaa
atgaacgtcgccaataaaggtatgtcgccatattcttttaataatgagtggtggaaaggc
gagtcggaatacgggaatgtcgatgctgaaaggacgccatttctcatgatcattctgta
gtgacctcgcattggtgccagcggggtttcaatgatgacaccgctgggtactcggtactc
ttcgccaggcgcgataaagtggctggacgcccaaccaactccttcgccctggactcggtttc
acggccattgccattggtgatcagccagtaaacgcccaaaaactgcactggcgtcgcgc
cagattcggtatggttacgggtataaagcaaaaacgtaaacgttcattatcaggtga
```



Sequence File upload: 

Species: 

Use RBS model, if available

Output Options

E-Mail Address (required for graphical output or sequences longer than 5000000 bp) 

- Generate PDF graphics (screen)
- Generate PostScript graphics (email) 
- Print GeneMark 2.4 predictions in addition to GeneMark.hmm predictions 
- Translate predicted genes into proteins
- Sequences of predicted genes

Output of GeneMark

Gene Predictions in Text Format

Information on input sequence

Sequence title: Tue Aug 22 08:37:30 EDT 2006
Length: 1029 bp
G+C Content: 50.34 %

Parse predicted by GeneMark.hmm 2.4

GeneMark.hmm PROKARYOTIC (Version 2.5a)
Model organism: Escherichia_coli_K12
Tue Aug 22 08:37:30 2006

Predicted genes

Gene #	Strand	LeftEnd	RightEnd	Gene Length	Class
1	+	<1	378	378	2
2	+	388	675	288	1
3	-	712	>1029	318	1

Glimmer

- Download page:

<http://www.tigr.org/~salzberg/glimmer.html#perf>

- Mainly for bacteria and archaea
- Use interpolated Markov Model: train model from 1st ord to 8th ord and weight them according to prediction accuracy.

Accuracy of Glimmer

Organism	Genes annotated	Annotated genes found	% found
<i>H. influenzae</i>	1738	1720	99.0
<i>M. genitalium</i>	483	480	99.4
<i>M. jannaschii</i>	1727	1721	99.7
<i>H. pylori</i>	1590	1550	97.5
<i>E. coli</i>	4269	4158	97.4
<i>B. subtilis</i>	4100	4030	98.3
<i>A. fulgidis</i>	2437	2404	98.6
<i>B. burgdorferi</i>	853	843	99.3
<i>T. pallidum</i>	1039	1014	97.6
<i>T. maritima</i>	1877	1854	98.8

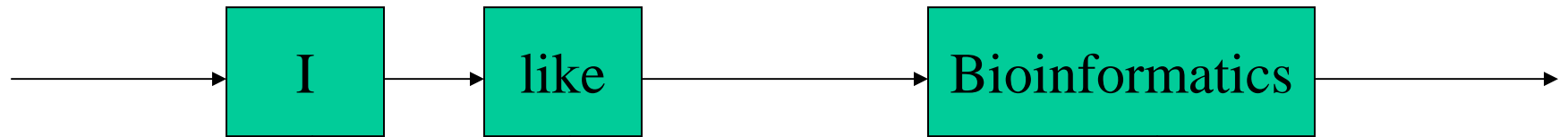
It is pretty accurate for prokaryotes.

Hidden Markov Model

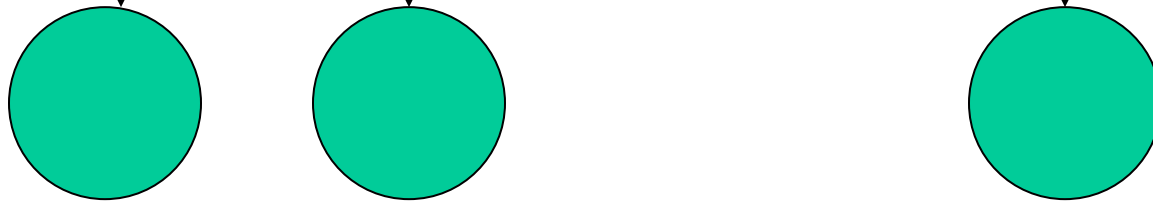
- HMM is Markov process where states are hidden (unseen), but the variables emitted from states can be observed.
- Challenge is to determine the hidden parameters from the observable parameters.

Speech Recognition Example

Words:

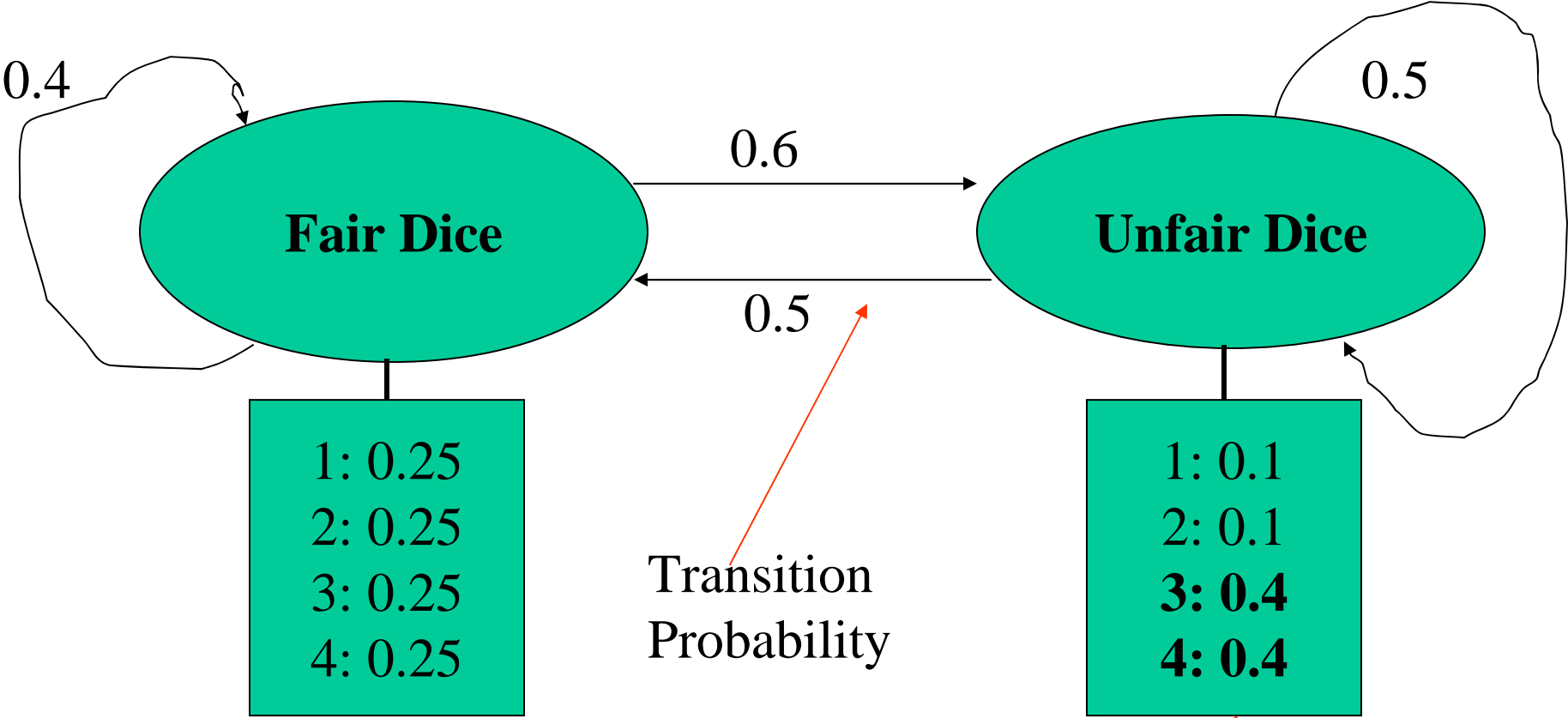


Sounds:



Goal: infer words from sounds

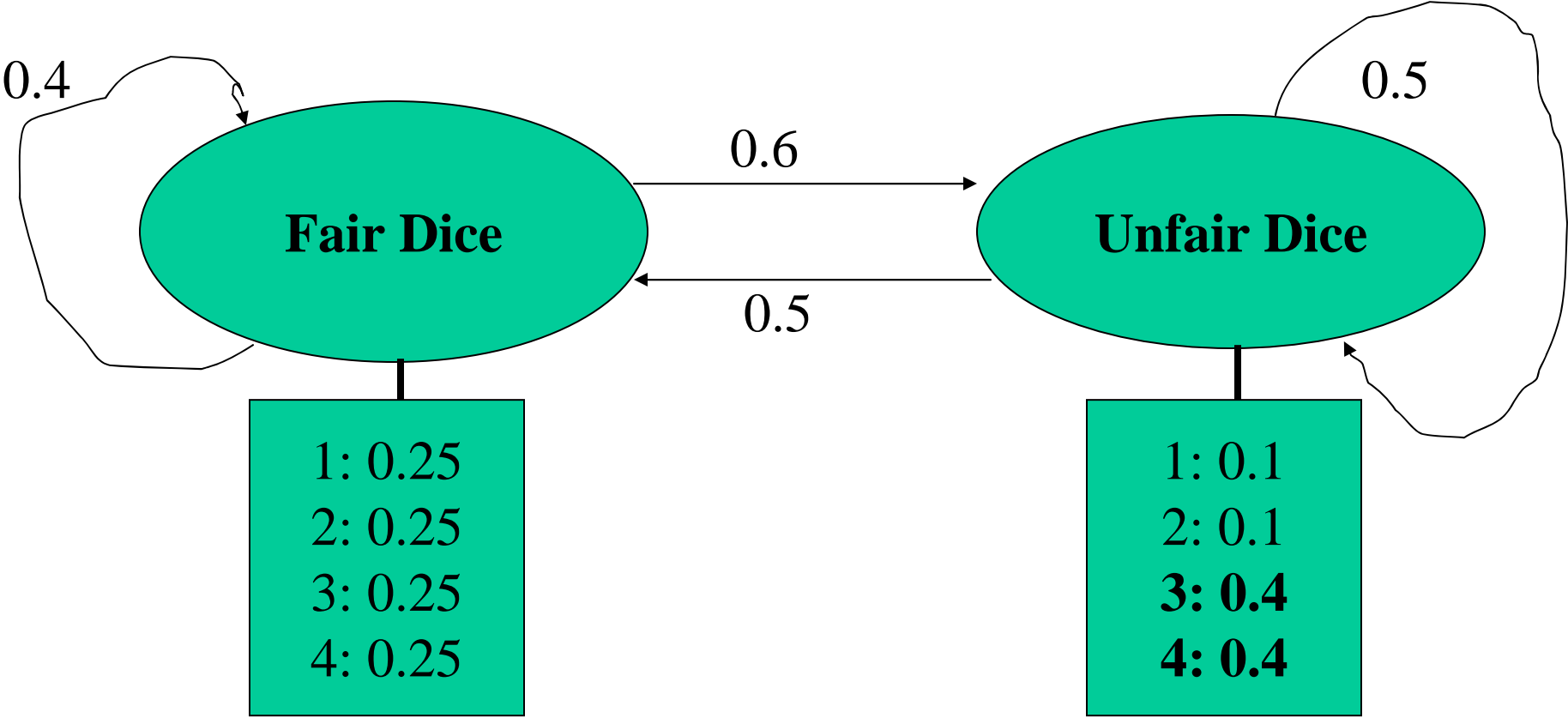
Gambling Example



Observations: 1231242134121344343243443

Emission Probability

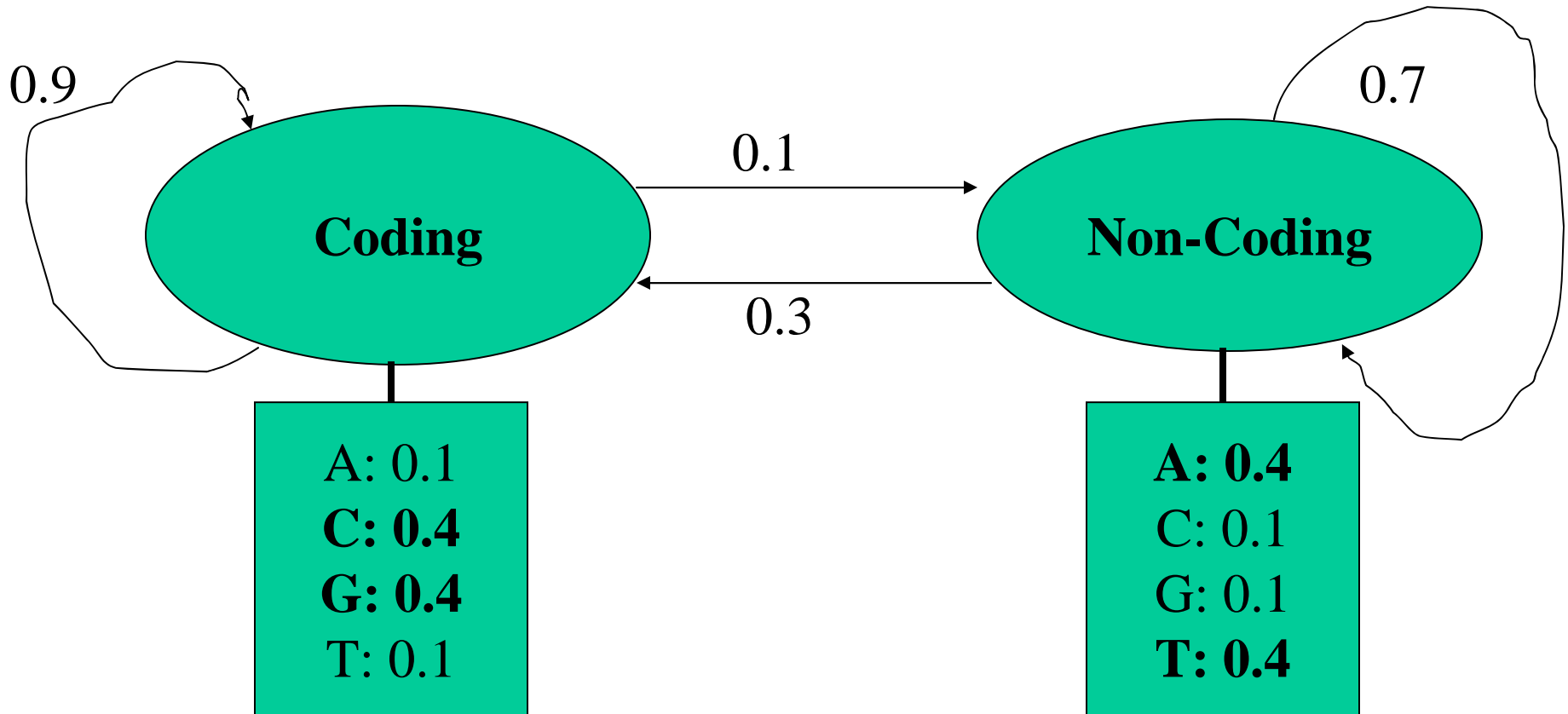
Gambling Example



Observations: 1231242134121344343243443

State: FFFFFFFFFFFFFFFUUUUUUUUU

A simple gene prediction example



Observations: ATAT**CGGCCCGACCCGGGG**TACTA

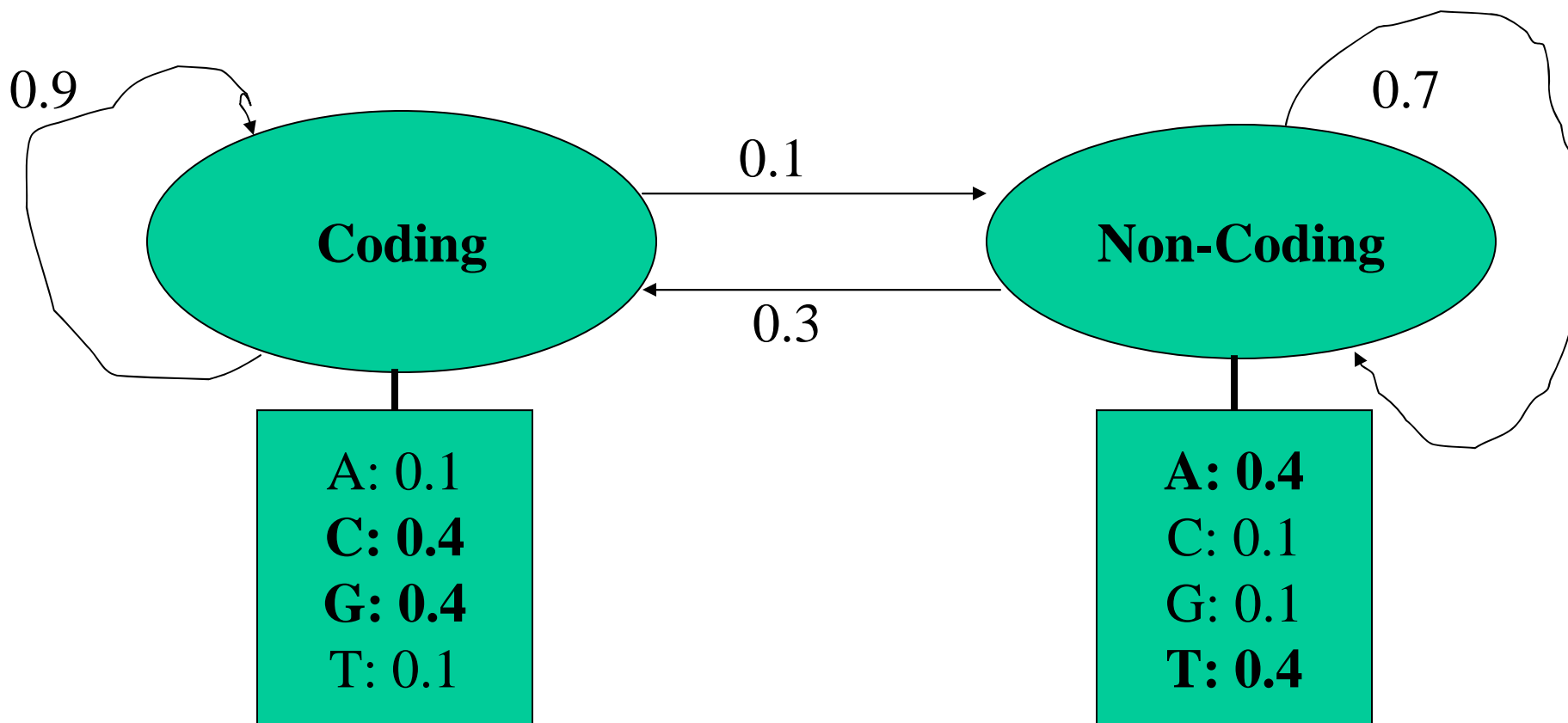
State:

Three Problems in HMM

1. **Prediction / Evaluation:** Given parameters of the model, compute the probability of an output sequence (Forward / backward Algorithm)
2. **Decoding:** Given parameters of the model, find most likely sequence of hidden states. (Viterbi Algorithm)
3. **Learning:** Given a set of sequences generated by the model, learn the most likely model parameters (transition/emission probabilities) (Baum-Welch Algorithm)

In gene prediction, we first use coding and non-coding sequences to train a HMM and then use known HMM to make prediction for a new sequence.

HMM Prediction



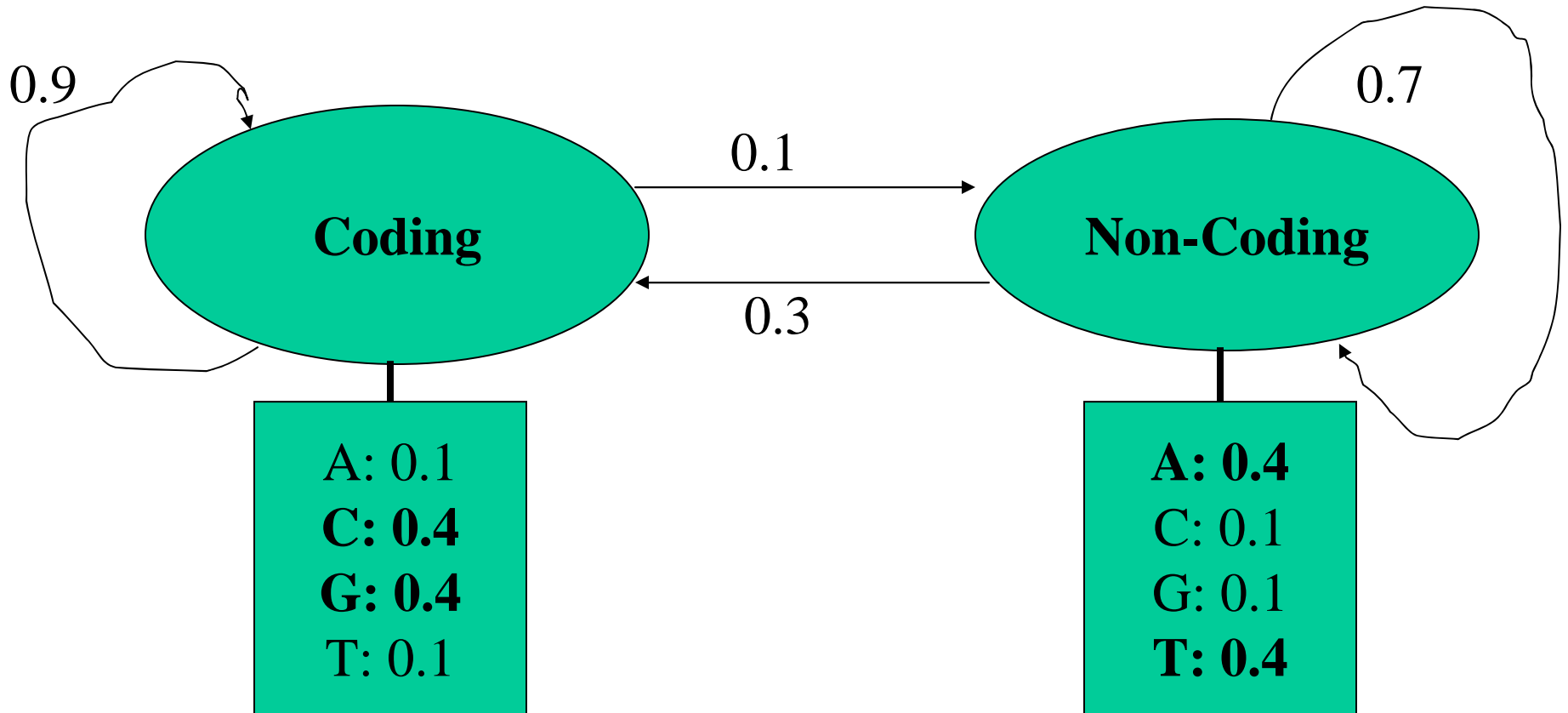
Observations: ATCT

Path 1: $N \rightarrow N \rightarrow C \rightarrow N$, what is probability?

Path 2: $C \rightarrow C \rightarrow N \rightarrow C$, what is probability?

Goal: find the max probability that sequence is generated from HMM

HMM Decoding

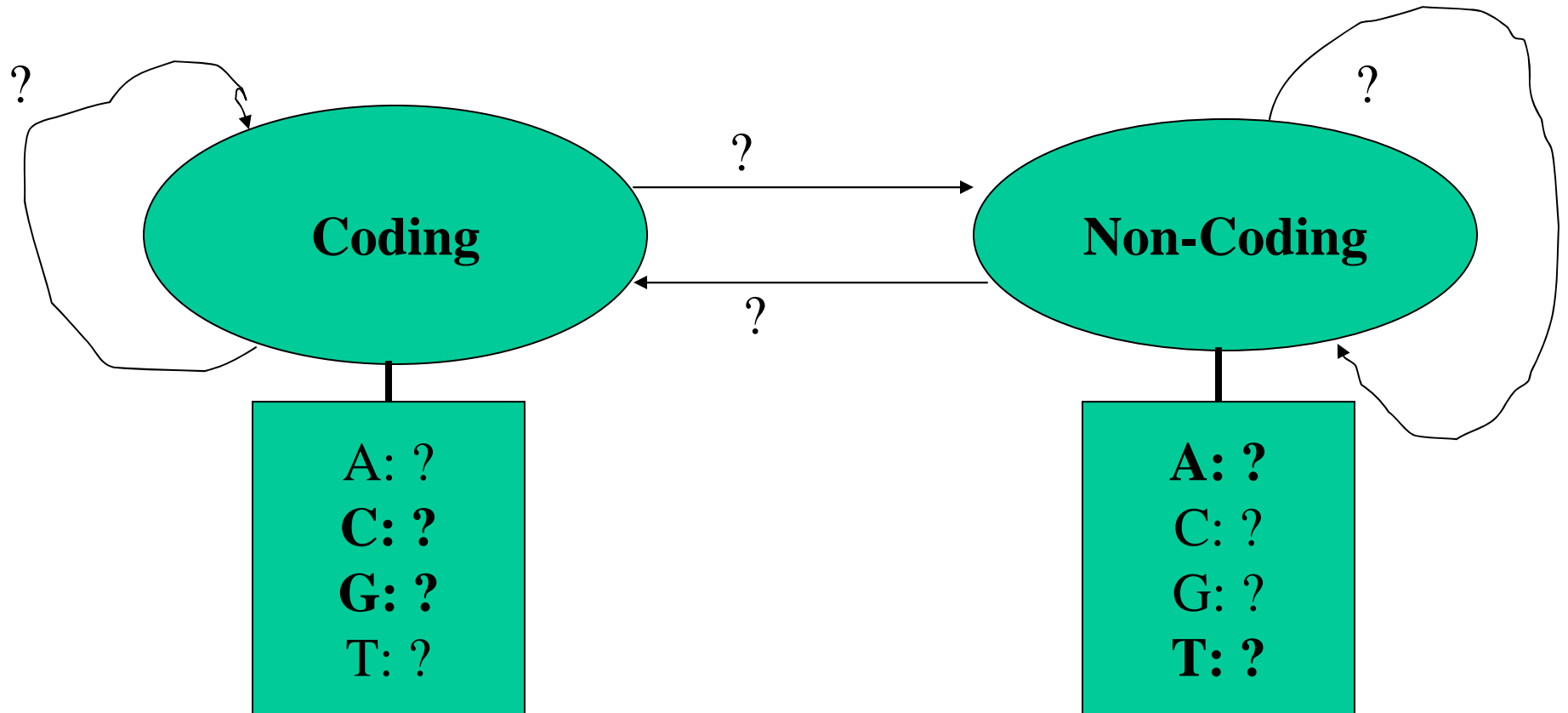


Observations: ATCT

Best path?

Goal: find the path with maximum probability.

HMM Learning



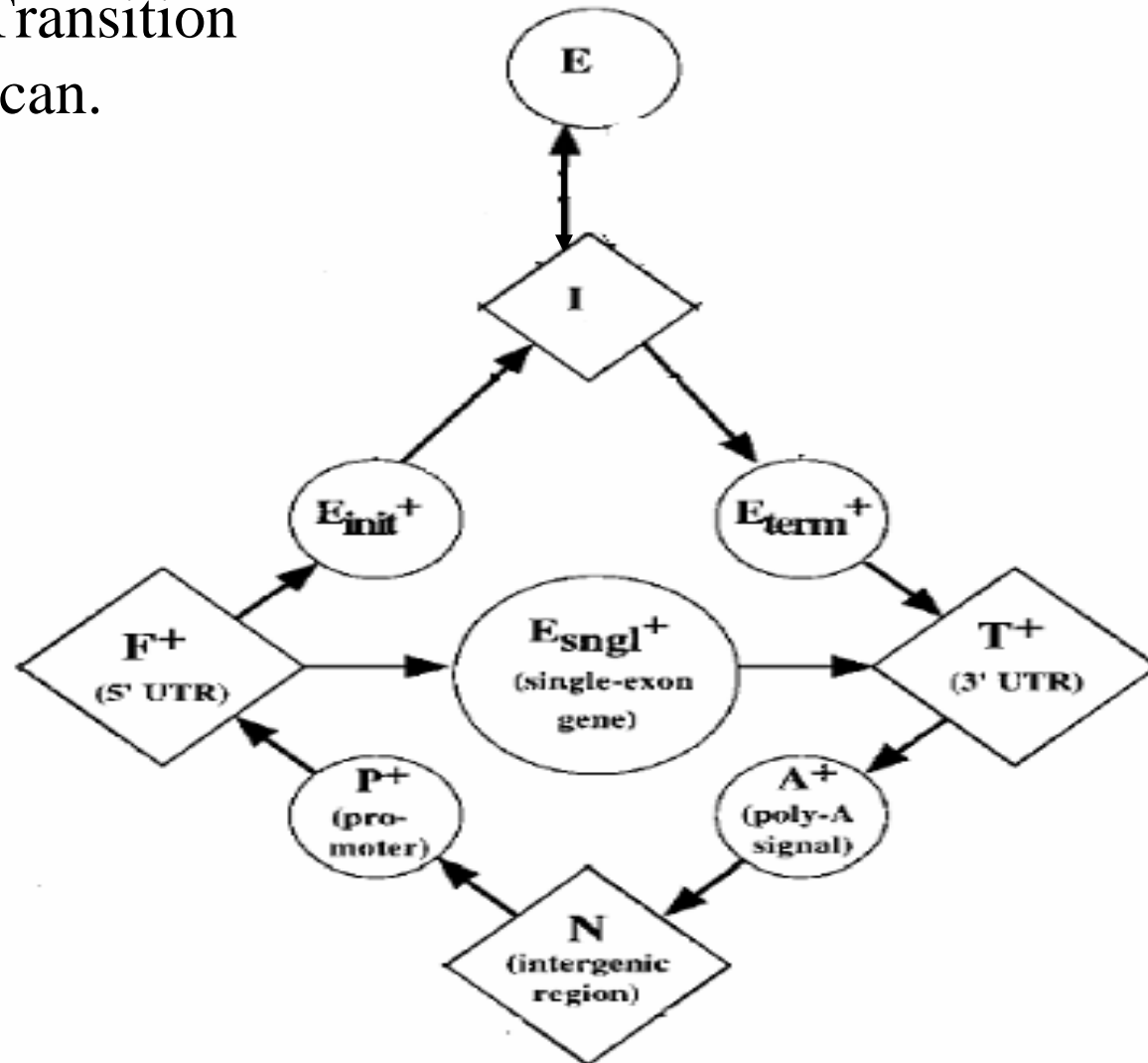
Observations: ATCT, CCCT, GTAC, TTAC,...

Goal: find the parameter values to fit data well.

GENSCAN

(genes.mit.edu/GENSCAN.html)

Simplified State Transition
Diagram of GenScan.







Fast DNA Sequencing Machine: 25 million in four hours

Nature: <http://www.nature.com/news/2006/060918/full/443258a.html>

Neural Network

- Generative versus discriminative
- Neural network is a general, powerful classification / pattern recognition tool.
- Inputs to NN are features that describe the subject.
- Output of NN is a class label (or category) assigned to the subject.

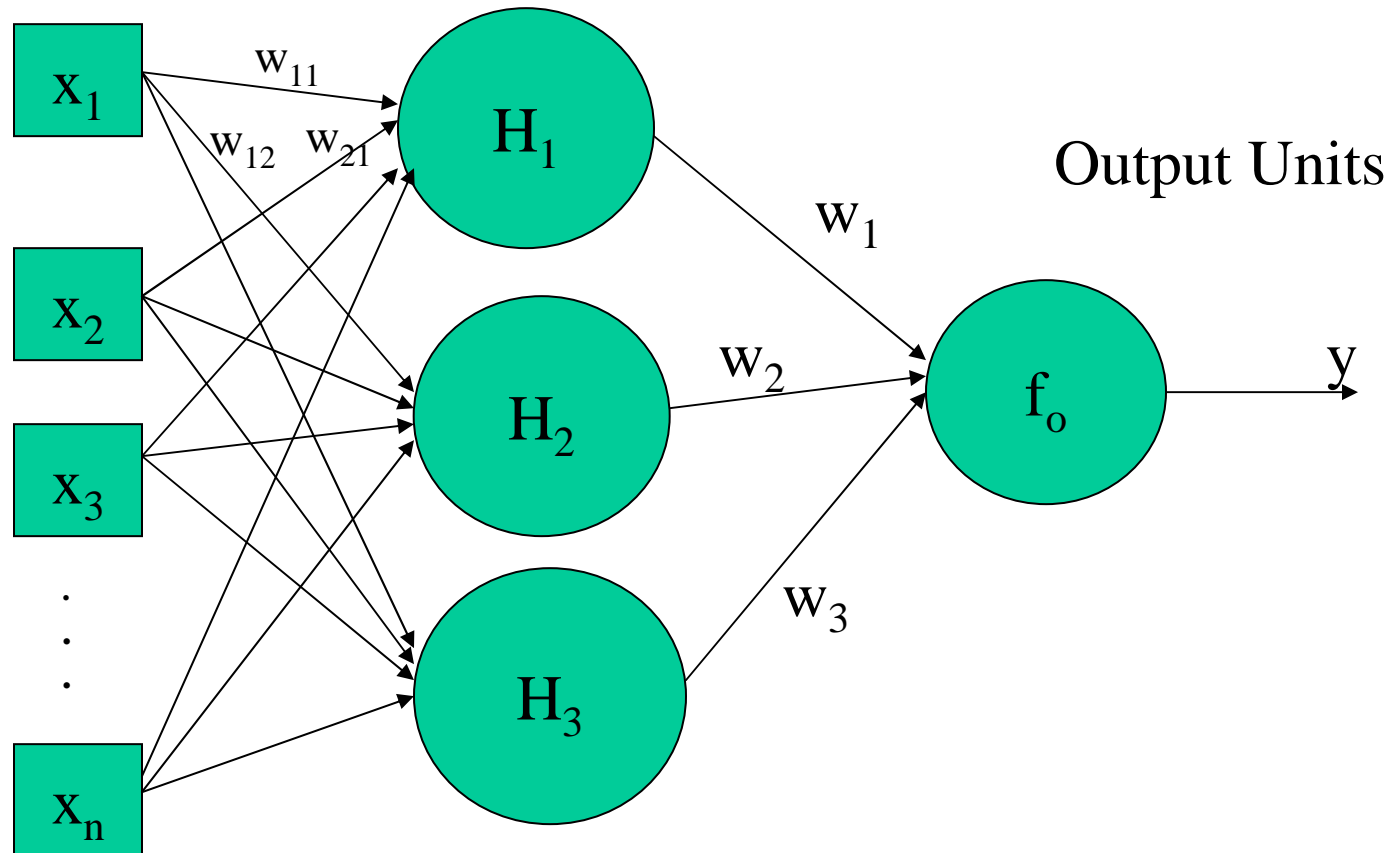
Example of NN applications

- Given a set of words of a news article, predict its category (sports, politics, science, technology)
- Given a set of features describing a sequence of DNA, predict if it is coding region (exon) or not (intron)
- Goal is to learn a function to map input features to the target (category, real value)

A General Neural Network

Input Units

Hidden Units



Each weighted connection means the product of the output of one unit and the weight is sent to another unit as input. Each hidden unit and output unit have a transfer function to convert the sum of inputs into an output. Let transfer function of hidden unit be f_h (e.g., identity function) output unit to be f_o (e.g., sigmoid function, $1/(1+e^{-x})$).

Neural Network is a Universal Function Approximator

We can represent neural network as an function:

$$y = f_o \left(\sum_i w_i f_h \left(\sum_j x_j w_{ij} \right) \right)$$

This function is universal, which means that any function $y=f(x)$ can be approximated by this function accurately, given a set of appropriate weights W .

So, the key is to adjust weights W to make neural network to approximate the function of our interest. e.g., given input of sequence features, tell if it is a gene or not (1: yes, 0: no)?

Adjust Weights by Training

- How to adjust weights?
- Adjust weights using known examples (training data) $(x_1, x_2, x_3, \dots, x_n, y)$. This process is called training or learning
- Try to adjust weights so that the difference between the output of the neural network and y (called target) becomes smaller and smaller.
- Goal is to minimize Error (difference)

Adjust Weights using Gradient Descent (back-propagation)

Known:

Data: $(x_1, x_2, x_3, \dots, x_n)$ (y)

Unknown weights w :

w_{11}, w_{12}, \dots

Randomly initialize weights

Repeat

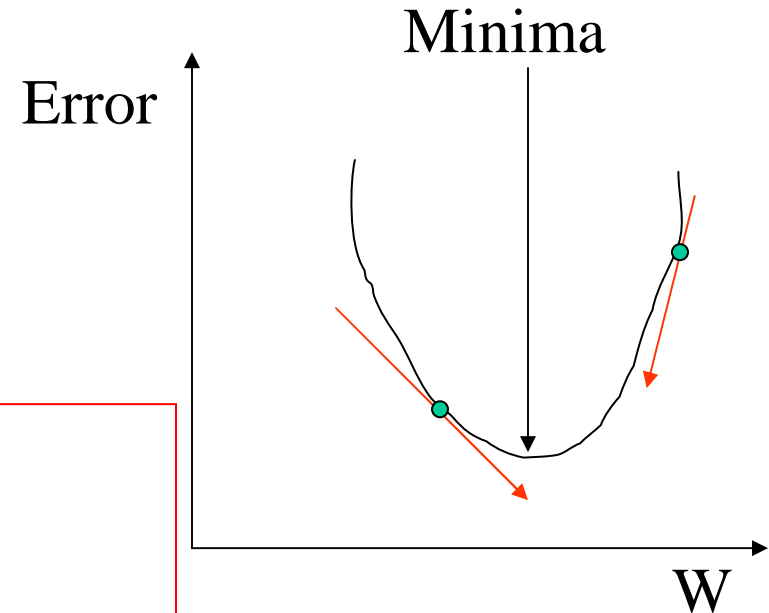
for each example, compute output o

calculate error $E = (o - y)^2$

compute the derivative of E over w : $dw = \frac{\partial E}{\partial w}$

$w_{\text{new}} = w_{\text{prev}} - \eta * dw$

Until error doesn't decrease or max num of iterations



Note: η is learning rate or step size.

Prediction and Test Phase

- Weights are known.
- Given an input vector X , neural network will generate an output O .
- For binary classification/prediction, there is only one output. If $O > 0.5$, it is positive (gene), else, it is negative (not gene).
- Evaluate neural network on test data

Neural Network Tools

- Neural network has become a standard classification tool.
- The key thing left for user is to extract features (or inputs X), assign outputs, and control training.
- Pick a standard tool to train a neural network model (weights) and use it in prediction.
- Some tools: Weka (Java), NNClass (C++), and Neural Networks in MatLab

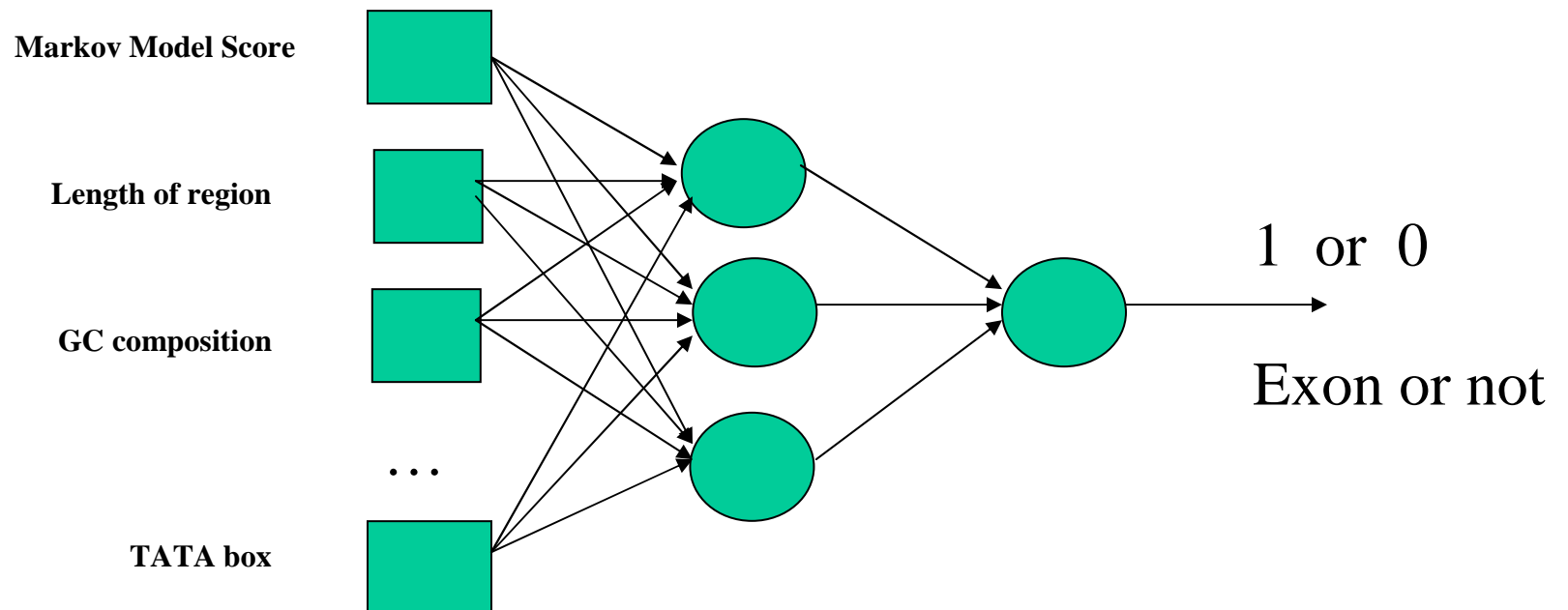
NNClass: http://www.eecs.ucf.edu/~jcheng/cheng_software.html

Weka: <http://www.cs.waikato.ac.nz/ml/weka/>

Neural Network for Gene Prediction

Given a sequence ACGGGGAATTCGTAGCT..., predict if it is an exon (coding region) or not.

Extract features from the sequence and feed them into neural Network.



Grail

GrailEXP Grail Experimental Gene Discovery Suite

GrailEXP is a software package that predicts exons, genes, promoters, polyas, CpG islands, EST similarities, and repetitive elements within DNA sequence. GrailEXP is used by the [Computational Biosciences Section](#) at [Oak Ridge National Laboratory](#) to annotate the entire known portion of the human genome (including both finished and draft data).

If you are interested in microbial genome analysis and annotation, you should go to the [Generation home page](#).

Perform Analysis

Select organism:

Select output type:

Perceval Exon Candidates

(Locate Grail exons using an improved version of the Grail1.3 neural net)

Galahad EST/mRNA/cDNA Alignments

(Search from the selected EST/mRNA databases and build exons based on similarities with the sequences in these databases)

GrailEXP Database (Refseq/HTDB/dbEST/EGAD/Riken)
NCBI Refseq mRNAs
NCI Mammalian Gene Collection (Human)
NCI Mammalian Gene Collection (Mouse)
Baylor Human Transcript Database
TIGR EGAD Transcript Database
Riken Fantom Mouse cDNA Database
dbEST Human
dbEST Mouse
dbEST Others

Select database(s) to search:

Gawain Gene Models

(Assemble complete gene structures from the above selected options, i.e. Perceval exon candidates and/or Galahad EST/mRNA alignments)

Gene modeling organism options:

CpG Islands

(Find CpG Islands using Grail1.3)

Repetitive Elements

(Locate repetitive elements using a BLAST-based method against the Repeatmasker database)

Web:<http://compbio.ornl.gov/grailexp/>

Gail combine both neural network and homology search

Other Tools

- Grail: <http://compbio.ornl.gov/grailexp> (Neural Network and EST database search)
- HMMgene: www.cbs.dtu.dk/services/HMMgene (use HHM)
- GeneParser: <http://beagle.colorado.edu/~eesnyder/GeneParser.html> (dynamic programming and neural network)

Ten Topics

- 1. Introduction to Molecular Biology and Bioinformatics
- 2. Pairwise Sequence Alignment Using Dynamic Programming
- 3. Practical Sequence/Profile Alignment Using Fast Heuristic Methods (BLAST and PSI-BLAST)
- 4. Multiple Sequence Alignment
- 5. **Gene Identification**
- 6. Phylogenetic Analysis
- 7. Protein Structure Analysis and Prediction
- 8. RNA Secondary Structure Prediction
- 9. Clustering and Classification of Gene Expression Data
- 10. Search and Mining of Biological Databases, Databanks, and Literature